

EU Interoperability. Challenges

Enterprise Architecture vs. Solution Architecture areas of concern

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SEPTEMBER 2024



AGENDA

1. EU IOP Portfolio of solutions (partial) 10'

2. EA vs. SA. A personal view 35'

3. Q& A 15'



1. EU IOP Portfolio of solutions (partial)

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EU Portfolio of solutions (partial). Use cases

Persona



The **CIO of a Member State** (e.g. Head of MS's Digital Agency), who needs to plan and optimise the investment in e-Government for the following years, to be distributed in different policy areas, while focusing on the modernisation of the MS's digital public services.



The **public administrations' services Portfolio Manager**, working on planning the long-term modernisation programmes of specific digital public services for citizens and businesses or of infrastructure services, who needs to have guidance in identifying the priority actions and their sequence.



The **business analyst, enterprise or solution architect** working in the implementation of digital public services in a specific policy domain or in cross-domain infrastructure services



The **ICT procurer** working in the identification and assessment of standards and specifications in a public procurement process

Sol. Tester

The **solution tester** working in the validation/ conformance testing of a model/solutionimplementation of digital public services in a specific policy domain or in cross-domain infrastructure services



EU Portfolio of solutions (partial). Support for the DPS lifecycle. Positioning

	Quality Assurance (ex-ante)	Quality Control (post-hoc)
SPECIFICATIONS	ELAP, ELIS, EIRA, eGovERA foundation, <u>CSSV</u> , <u>CAV</u> , FUTURE IA guidelines supporting IEA art. 3	
	SW Tools : <u>CarTool</u> , eGovERA	SW Tools: <u>ITestBed</u> (EIRA
	UPSCALE (DBC Building and Assessment Decision support, DBC Portfolio Management Decision Support, DBC Transformation Roadmap decision support)	Validator) Survey Tools: Interoperability
TOOLS	Survey Tools: <u>CAMSS</u> ,	Maturity Tools (IMAPS, SIQAT,
	<u>eGovERA upscale</u> , <u>IDPSIO</u>	GIQAT)
	<u>eGovERA upscale</u> , <u>IDPSIO</u> Solutions: <u>CAMSS</u>	GIQAT)

EU Portfolio of solutions (partial). Challenges





2. EA vs. SA. A personal view

The comments/reflexions/etc shared in this section are my personal opinions and in any way are endorsed by the EU



Alignment: The big EA idea evolution



Zachman, J. A. (1987). A Framework for Information Systems Architecture. IBM Systems Journal, 26(3).

European Commission

EA vs. SA areas of concern



AREA OF CONCERN OF THE	Traditional	Business	Digital Focus	
ARCHITECTURE FRAMEWORK	EAF	Focus AF	AF (SA)	
Legal alignment	V	V	V	
Strategy alignment	v	v	V	
Organization implementation	V	V		
Digital architecture implementation	v		V	
Architecture alignment	V	V	${f V}$ (for digital	
			governance)	

Henderson, J. & Venkatraman, N. Strategic Alignment: Leveraging Information Technology for Transforming Organizations. IBM Systems Journal, 32(1), 1993



Traditional EAF. Challenges

CHALLENGES

Business strategy is a requirement

Process orientation (BPMN) → status quo

Holistic → Investment, Value & Time to market

Lack of specialization for Public Administrations

Professional entry barriers are based in best practices (EABoK)

Unit of analysis is not unique (organization for the business and the system for the digital)

Implementation orientation: Normative, top-down

Architecture Principles: Not explicit/neglected

The hopes

Focus (aka PAAF)

Capabilities orientation

Focus (aka PAAF)

Focus (aka PAAF, eGovERA)

Scientific foundations

Focus (aka PAAF, eGovERA)

Implementation orientation: Context contingent/dynamic

Architecture Principles: Explicit



Thank you



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DPS interoperability dimensions



- Governance Interoperability: Collaboration with other public services



The IOP challenge: Requirements based LOST environments.





How does <u>EIRA</u>©/<u>eGovERA</u>© foundation look?





What is <u>EIRA</u>©?

- ✓ It is a <u>quality assurance</u> specification
- ✓ Architecture technology independent content metamodel
- ✓ The unit of analysis is one European digital public service.
- ✓ Defining the most salient architectural building blocks (ABBs) needed to build European interoperable digital public services
- ✓ Each ABB models a decoupled L/O/S/T functionality (technology domain specific ABBs and technology domain agnostic ABBs)
- ✓ From a life-cycle perspective it supports the following use cases: Analysis of High-level requirements use case, analysis of detail-level requirements (specifications/standards) use case, and solution design use case
- ✓ Result of a collaborative effort of MS
- ✓ As any RA
- ✓ Has a focus: Interoperability
- ✓ Has an Reference Model/Ontology: ArchiMate ©
- ✓ Has an Architecture Style: SOA
- ✓ **<u>EIRA Validator</u>** based in ITestBed



 ✓ It is a suite of <u>quality assurance</u> specifications (i.e. eGovERA© foundation) and solutions (eGovERA© upscale)

Foundation sol. comp		eGovERA© reference architectures	4 reference architectures , 3 domain specific (Taxation, Customs and Health) and the Business Agnostic.
ents		eGovERA© eGovernment Digital Business Capabilities Assessment	Survey tool enabling the assessment of the ability to deliver per digital business capability
n compon	F	eGovERA© eGovernment Portfolio Management Decision Support	Quadrant tool enabling identification, analyses, and decision support on dbcs
le solutio	[U]	eGovERA© Digital Transformation roadmap Support	Roadmap tool enabling analyses and decision support on dbcs
Upsca	¥)	eGovERA© portal	Web portal enabling one-stop access to eGovERA© upscale solution components

- ✓ eGovERA© foundation provides additional granularity to EIRA© defining the most salient architectural building blocks (ABBs) needed to build European interoperable solutions supporting public services in specific domains. Examples:
 - ✓ Digital Business Capability ABB in EIRA© → specific DBCs in each eGovERA© RA.
 - ✓ Digital Public Service ABB in EIRA© → specific DPSs in each eGovERA© RA



What is <u>eGovERA</u>[©] foundation?

✓ eGovERA© foundation is a suite of specifications based in EIRA©





What is **ELIS**?

- ✓ It is a <u>quality assurance</u> set of specifications. The EIRA© Library of Interoperability Specifications.
- ✓ Accessible trough the CarTool[©] and as an Excel directly in Joinup
- ✓ ELIS dashboard

How does **ELIS** look?

Α	В	C	D	E	F	G	н	1	J.	К	L	M	N	0
		Model		Associated Interoperability		Specification	Specification		TOGAF			CAMSS	Assessment	Assessmen
View	ABB	Concep'	ABB Description	Specification	Creator	Туре 🚽	Description	Association rationale	Domain 🖕	ELIS version	Version	Assessment	Definition	Automate × Score
Technical	Access Management	Application	Access Management Component	CYBER Application of Attribute Based	European	Specification	CYBER Application of	CYBER Application of	Application	v5.0.1	1.1.1	N/A	N/A	JCOTE
Application	Component	Component	ABB is an Application Component	Encryption (ABE) for PII and personal	Telecommunications		Attribute Based Encryption	Attribute Based Encryption						
			allowing users to make use of i)	data protection on IoT devices, WLAN,	Standards Institute		(ABE) for PII and personal	(ABE) for PII and personal						
			IT services, ii) data, and/or iii)	cloud and mobile services - High level	(ETSI)		data protection on IoT	data protection on IoT						
			other assets. Access management	requirements			devices, WLAN, cloud and	devices, WLAN, cloud and						
			helps to protect the				mobile services - High level	mobile services - High						
			confidentiality, integrity and				requirements (ETSI TS 103	level requirements (ETSI TS						
			availability of assets by ensuring				458) specifies high-level	103 458) specifies high-						
			that only authorised users are				requirements for the	level requirements to						
			able to access or modify the				application of Attribute-	protect personal						
			assets.				Based Encryption (ABE) to	information and personal						
							protect personal	data on devices or						
							information and personal	services in the Internet of						
							data, where access to data	Things, cloud services,						
							must be granted to multiple	wireless local area						
							parties and under different	networks and mobile						
							conditions. With a primary	services.						
Technical	A	Anglighting	A	ICON Mich Talvas (IMIT)	UTTE	Canalfination	focus on the confidentiality	NAT Is an industry	Anglianting		22.0.0	N/A	b) / A	
Application	Access Management	Application	Access Management Component	JSON WEB TOKEN (JWT)		specification	ISON Web Token (JWT) IS a	standard method for	Application	V1.0.0	52.0.0	N/A	N/A	
Application	component	Component	allowing users to make use of i)				compact, okc-sale means of	standard metriod for						
			Transicar ii) data and/or iii)				transferred between two	representing cranns						
			other assets Access management				parties. The claims in a IWT	narties						
			beins to protect the				are encoded as a ISON	purcies.						
			confidentiality integrity and				object that is used as the							
			availability of assets by ensuring				payload of a ISON Web							
			that only authorised users are				Signature (IWS) structure or							
			able to access or modify the				as the plaintext of a ISON							
			assets.				Web Encryption (JWE)							
							structure, enabling the							
							claims to be digitally signed		1					
							or integrity protected with a		1					
							Message Authentication							
Technical	Access Management	Application	Access Management Component	eIDAS SAML message format	European Commission	Specification	The eIDAS SAML message	The specification is	Application	v5.0.1	1.2.0	N/A	N/A	
Application	Component	Component	ABB is an Application Component				format is an extension of	generally used by CEF eID						
4	L O S T-A T-I Change Log A													

European Commission

What is **ELAP**?

- ✓ It is a <u>quality assurance</u> set of architecture principles. The European Library of Architecture Principles.
- ✓ Accessible trough the CarTool[®] and as an Excel directly in Joinup
- ✓ ELIS dashboard
- ✓ ELAP validator based in ITestBed under development

How does **ELAP** look?

6	dentifier 🗸 🗸	Category	Scope 👻	Principle name	Definition of the principle	Source 🗸
1	7240dcd6e6e701c28b3baca727569e13c	Digital Public Service Strategy (Public	Business agnostic	Primacy of principles	The principles in ELAP apply to all architec-	https://pubs.opengroup.org/architecture/togaf9- 1
3	319d0f5205cdbcb8d45b2999f1e01a0	Policy Cycle)			tural work within the EU during the life cycle of any architecture work	doc/arch/chap20.html c
7					within the context of interoperability and to all organizations in the EU	E
	d3cc0a1938f252da26d404de58dbbe69e	Digital Public Service Strategy (Public	Business agnostic	Evidence based Public Policy	Evidence-based Public Policies are policies designed and periodically	https://www.researchgate.net/publication/242709855_2_Evide_E
1	872125b0d779875e11a3b66f56ea801	Policy Cycle)			reviewed looking at experimental evidences and incontrovertible data.	nce-based policy principles and requirements
					The primary goal is to improve the reliability of advice concerning the	
					efficiency and effectiveness of policy settings and possible	
					alternatives.	
					There are three crucial enabling factors that underpin modern	
					conceptions of evidence-based policy (EBP): high-quality information	
					bases on relevant topic areas, cohorts of professionals with skills in	
8					data analysis and policy evaluation, and political incentives for	
4	4ccd376c766cf82024852bff782fc54e898	Digital Public Service Design	Business agnostic	EU Legislation Compliance	EU Legislation Compliance is the property of a (national/local)	https://eur-lex.europa.eu/resource.html?uri=cellar:2c2f2554-
	5895822b172ecea738723d22a79f9				legislation to be coherent or to implement European legislation.	Ofaf-11e7-8a35-01aa75ed71a1.0017.02/DOC 3&format=PDF c
					A compliance assessment of EU Directives must be carried out in two	c
					stages:	1
					 timely and systematic check whether Member States have 	
					transposed Union law;	
					(2) a timely and systematic conformity check of national transposing	
					measures against the relevant Union legislation (correct	
					transposition).	
					While Member States are responsible for the timely and accurate	
					transposition of directives as well as the correct application of the	
9					entire acouis, the Commission has to monitor the Member States'	
1	8b773803e66e0fc2e2bb6a629f56a915cf	Digital Public Service Strategy (Public	Business agnostic	Transparency	Transparency in the EIF context refers to:	https://joinup.ec.europa.eu/collection/nifo-national-
•	55dffb599302b3d54a08179af6bd07	Policy Cycle)			i. Enabling visibility inside the administrative environment of a public	interoperability-framework-observatory/2-underlying-
					administration. This is about allowing other public administrations,	principles-european-public-services - 2.4 (
					citizens and businesses to view and understand administrative rules,	E
					processes,11 data, services and decision-making.	c
					ii. Ensuring availability of interfaces with internal information	
	Metadata descript	ion ELAP (+)				

What is a <u>CAMSS</u> assessment?

- ✓ It is a <u>quality assurance</u> asset produced by the Common Assessment Method for Standards and Specifications.
- ✓ Standards and specifications with score >75% in the EIF scenario are considered "interoperable" and eligible for ELIS
- ✓ CAMSS assessment Library

How does a <u>CAMSS assessment look</u>?

✓ It is a report with the achieved score in the selected scenario (i.e. EIF, MSP, etc.)







Persona and use cases

Analysis H-L/D-L Requirements of a Digital Public Service Value proposition

Value proposition of DIGIT approach







Persona and use cases

Design of a Solution for a Target Digital Public Service

Value proposition of DIGIT approach





What is <u>CarTool</u>[©]?

✓ It is solution supporting <u>quality assurance</u>

 \checkmark It is an open platform implemented as a plugin or Archi[®] (available in EC Store) offering a tooling service to EIRA© and eGovERA©.

>

European

Commission

How does the CarTool[©] look?



What is <u>CAMSS</u>?

- ✓ It is a <u>quality assurance</u> solution. The Common Assessment Method for Standards and Specifications.
- ✓ Configured in scenarios:
 - ✓ EIF for assessing interoperability fitness
 - ✓ MSP for assessing public procurement fitness
- ✓ The unit of analysis is one standard/specification
- ✓ Self-assessments
- ✓ It assesses the overall score
- ✓ Standards and specifications with score >75% in the EIF scenario are considered "interoperable" and eligible for ELIS
- ✓ CAMSS assessment Library

How does CAMSS look?

- ✓ It is based in EU Survey
- ✓ It produces an standard/spec assessment report



 ✓ eGovERA© upscale solutions provide decision support for CIOs and ICT Portfolio Managers

	Foundation sol. comp		eGovERA© reference architectures	4 reference architectures , 3 domain specific (Taxation, Customs and Health) and the Business Agnostic.
	ents		eGovERA© eGovernment Digital Business Capabilities Assessment	Survey tool enabling the assessment of the ability to deliver per digital business capability
	l compon	10	eGovERA© eGovernment Portfolio Management Decision Support	Quadrant tool enabling identification, analyses, and decision support on dbcs
	le solutio	S	eGovERA© Digital Transformation roadmap Support	Roadmap tool enabling analyses and decision support on dbcs
	Upsca	¥)	eGovERA© portal	Web portal enabling one-stop access to eGovERA© upscale solution components



- ✓ eGovERA© eGovernment Digital Business Capabilities Assessment solution
 - ✓ The unit of analysis of is one As-Is digital business capability, DBC.
 - ✓ It is based in eGovERA[©] foundation RAs and, so far, it supports business agnostic DBCs, tax DBCs, customs DBCs, and health DBCs.
 - ✓ It is based in a questionnaire
 - ✓ Use cases are eGovernment digital business capability assessment/building use case, EU funds application support for eGovernment and digital transformation use case, input to the eGovERA© eGovernment Portfolio Management Decision Support solution, input to eGovERA© Digital Transformation Roadmap Decision Support solution, and input to the national digital agenda support use case.

How does eGovERA[©] eGovernment Digital Business Capabilities Assessment look?

BAABB1)"*" requirement <<ceira: European Legal Act>> Regulation (EU) 2018/1724 of the European Parliament and of the Council of 2 October 2018 establishing a single digital gateway to provide access to information, to procedures and to assistance and problem-solving services is in the regulation of your country/region



Extent to which the requirement is met by the As-Is

This requirement **might** be considered because eQualification DPS Delegation of Power DPS, eldentification DPS support this DBC and they involve rules on single digital gateway legal.

To what extent this requirement is met by As-Is digital business capability? Please, consider 1 as the lowest score and 5 the maximum score.

(*)This legislation covers aspects on: (a) the establishment and operation of a single digital gateway to provide citizens and businesses with easy access to high quality information, to efficient procedures and to effective assistance and problem-solving services with regard to Union and national rules applicable to citizens and businesses exercising or intending to exercise their rights derived from Union law in the field of the internal market, within the meaning of Article 26(2) TFEU; (b) the use of procedures by cross-border users and the implementation of the 'once-only' principle in connection with the procedures listed in Annex II to this Regulation and the procedures provided for in Directives 2005/36/EC, 2006/123/EC, 2014/24/EU and 2014/25/EU; (c) the reporting on obstacles in the internal market based on the collection of user feedback and statistics from the services covered by the gateway.



- ✓ eGovERA© eGovernment Portfolio Management Decision Support solution
 - ✓ The unit of analysis is a business agnostic/domain specific DBC.
 - ✓ It requires the input of the eGovERA© eGovernment Digital Business Capabilities Assessment solution.
 - ✓ It supports quadrant analysis of DBCs in terms of either i) national digital strategy fit axe vs. As-Is ability to support axe or ii) expected public value axe vs. As-Is ability to support axe.
 - ✓ It support analyses considering national digital fit score, As-Is ability to support, To-Be ability to support, expected public value, and estimated budget.
 - ✓ Use cases are eGovernment portfolio management decision support use case, EU funds application support for eGovernment and digital transformation use case, input to eGovERA[©] Digital Transformation Roadmap Decision Support solution, and input to the national digital agenda support use case.

How does eGovERA[©] eGovernment Portfolio

Management Decision Support look?



- ✓ eGovERA© Digital Transformation Roadmap Support solution
 - ✓ The unit of analysis is a business agnostic/domain specific DBC.
 - ✓ It requires the input of the eGovERA© eGovernment Digital Business Capabilities Assessment solution.
 - ✓ It supports precedence analysis of requirements.
 - ✓ It is connected with the IDPSIO solution.
 - ✓ The level of granularity of the analyses is and ABB in the concerned eGovERA© RA.
 - ✓ Use cases are EU funds application support for eGovernment and digital transformation use case, digital transformation roadmap decision support use case, and input to the national digital agenda support use case.

How does eGovERA© Digital Transformation Roadmap Support look?





EU Tax Solutions Service



What is <u>IDPSIO</u>?

- ✓ It is a <u>quality assurance</u> solution. The Interoperable Digital Public Service Implementation Orientation.
- ✓ Users are CIOs and portfolio managers
- ✓ It provides support identifying configuration of the digital transformation roadmap in terms of the less bad implementation orientation for the concerned public policy context.

How does eGovERA© Digital Transformation Roadmap Support look?









European Commission





What is <u>ITestBed</u>?

- ✓ It is a suite of <u>quality control</u> solution services
- ✓ Unit of analysis: A model (i.e. EIRA©), the behavior in terms of message exchange of an IT system
- ✓ Value: Conformance testing

What are the functionalities?

SHACL, XSD

✓ Built-in support



Schematron, XPath, RegExp

Custom extensions







Introduction to the Interoperability Test Bed 01 02 03 04 05

How does it work?





What are the Interoperability Maturity Tools?

- ✓ It is a suite of <u>quality control</u> solutions (IMAPS, SIQAT, GIQAT)
- ✓ Each solution is based in EU Survey
- ✓ The unit of analysis is one <u>production</u> European digital public service.
- ✓ Value: Self-assessment of the interoperability of a production digital public service
- $\checkmark\,$ Aligned with EIF and leveraging EIRA©
- ✓ It provides an score
- ✓ It suggest recommendations
- ✓ Single Interoperability Assessment Gateway

How does IMTs look?

- ✓ It is based in EU Survey
- ✓ It produces an assessment report







